

Exception to F&A Rate and Approval for 15% Shortfall

Project Information

PD/PI First Name

PD/PI Last Name

Project Title

Published Sponsor F&A Rate:

Sponsor

PART 1: Request for Exception to Published Sponsor F&A Rate

This section must be completed if the proposed F&A rate is lower than the published sponsor F&A rate.

Budget Pd	Per Sponsor		
	F&A Rate	F&A Base	F&A Costs
1			
2			
3			
4			
5			
Total			

F&A Rate	Requested Amount		Unrecovered F&A
	F&A Base	F&A Costs	Exception

Justification – Provide a justification for the exception request. Attach a justification if more space is needed.

Check if F&A exception is used as Cost Sharing (if allowed by sponsor)

PART 2: Approval for Shortfall of 15% Recovery

This section must be completed for all proposals requesting less than 15% F&A, regardless of sponsor policy.

Budget Pd	Applicable F&A Base	F&A Rate	F&A Costs	15% x Applicable Base	Shortfall Amount	Source/Chargeline
1						
2						
3						
4						
5						
Total						

Justification – Provide a justification. Attach a justification if more space is needed.

Required Approvals

Dean

Vice Provost for Research

Signature: _____

Signature: _____

Name: _____

Name: _____

Date: _____

Date: _____